

# Guest Editorial

## Introduction to the Special Issue on Automatic Target Detection and Recognition

**A**UTOMATIC target recognition (ATR) generally refers to the autonomous or aided target detection and recognition by computer processing of data from a variety of sensors such as forward looking infrared (FLIR), synthetic aperture radar (SAR), inverse synthetic aperture radar (ISAR), laser radar (LADAR), millimeter wave (MMW) radar, multispectral/hyperspectral sensors, low-light television (LLTV), video, etc. It is an extremely important capability for targeting and surveillance missions of defense weapon systems operating from a variety of platforms.

ATR is aimed at reducing the workload for human operators (e.g., image analysts, pilots, tankers) who are tasked with a large scope of activities ranging from assessing the battlefield/battlespace situation over large areas and volumes to targeting individual targets on land, sea, or air. ATR is also used to reacquire targets when used on unmanned lethal weapon systems such as missiles. Its need is dictated by large volumes of data requiring analysis and by the short timelines required by target acquisition scenarios. Its successful application will greatly increase the effectiveness and efficiency of weapon systems.

ATR is a multidisciplinary field that requires diverse technology and expertise in sensors, processing algorithms, architectures, and evaluation of hardware and software systems. The major technical challenge for ATR is contending with the combinatorial explosion of target signature variations due to target configuration (e.g., stores, articulation, manufacturing, wear/tear), target/sensor acquisition parameters (e.g., aspect, depression, squint angles), target phenomenology (e.g., cavity responses, glints, infrared thermal behavior), and target/clutter interaction (e.g., foliage masking, camouflage). ATR systems must maintain low false alarm rates in the face of varying and complex backgrounds, and must operate in real time. Another extremely important challenge for ATR is the evaluation and prediction of ATR field performance given the practical limitation of data sets that cannot represent the extreme variability of the real world. The ability to rapidly insert new targets and to train algorithms on-the-fly in the field are important challenges to support flexible and sustained employment of ATR.

The ATR field has evolved from using statistical pattern recognition approaches to model-based vision and to knowledge-based systems. Currently, adaptive and learning systems, focused on parts of the ATR problem, are also being developed. Current research areas include knowledge-based and model-based techniques, and approaches based on using physical principles, detection theory, multiresolution processing, statistical techniques, neural nets, and genetic algorithms. However, no single approach is likely to be the solution to all

ATR problems, but by applying the most useful techniques to each part of the problem, progress is accelerating. The most successful ATR systems will probably blend several algorithmic techniques to achieve satisfactory performance.

### PAPERS IN THIS SPECIAL ISSUE

The goal of this special issue is to provide an overview of the state of the art in this important field, and to collect significant research results about new developments. The special issue contains 15 papers. These papers use a variety of techniques for target detection and recognition. There are various possible ways in which these papers can be grouped. We have organized them according to the kind of techniques and principles that are used for target detection and recognition.

The first four papers use multiresolution processing for clutter modeling, target detection, and recognition.

In the first paper on multiscale segmentation and anomaly enhancement of SAR imagery, Fosgate *et al.* take advantage of the coherent nature of the SAR sensor and describe a statistical scale-autoregressive model for imagery. They apply this to pixel classification, boundary detection, and anomaly enhancement in SAR imagery. The authors construct a multiresolution (quadtree-based) statistical model for SAR imagery of grass and forest terrain types. These models are then used to label pixels and find boundaries between them. For anomaly detection, the authors use a multiscale statistic similar to the spatial template constant false-alarm rate (CFAR) statistic commonly used in SAR target detection. Results are shown using real SAR images.

Like the first paper, the second paper by Subotic *et al.* uses multiresolution processing in SAR images to exploit the signature differences between natural clutter and man-made objects to detect targets in SAR images. They develop statistical models for multiresolution SAR signatures of natural clutter and man-made objects. These models are then used to develop a variety of target detection methods to distinguish clutter from man-made objects using multiresolution SAR data. The detectors are tested on simulated and real SAR data to verify that multiresolution processing is indeed useful.

The next paper by Greer *et al.* develops a maximum-likelihood multiresolution-based approach to the laser radar image processing problem. The expectation-maximization (EM) algorithm is used for fitting a multiresolution Haar wavelet basis to laser radar range data to achieve a computationally efficient and numerically robust procedure. The approach provides quantitative information about the performance, which can be used in a model-based recognition system to characterize system performance. The results are presented on both simulated and real laser radar range data.

The focus of the next paper by Wu and Bhanu is the use of the multiscale Gabor wavelet representation for three-dimensional (3-D) object recognition. They use magnitude, phase, and frequency of the Gabor representation in a flexible matching approach for recognition of targets in infrared images, where targets undergo rotation, translation, scale, occlusion, and aspect variations under changing environmental conditions. Flexible matching between the model and the image minimizes a cost function based on local similarity and geometric distortion of the Gabor grid, which is a topology-preserving map that efficiently encodes both signal energy and structural information of an object in a multiscale representation. Grid erosion and repairing is performed whenever a grid collapses due to object occlusion. Results are presented for both simulated and real infrared images with and without occlusion.

The next two papers use physics-based processing for target detection, recognition, and change detection.

The paper by Nandhakumar *et al.* presents an approach to computing thermophysical invariant features from infrared images by using principles of algebraic invariance theory. The approach is an extension of their previous work that required calibrated imagery for which the relationship between the sensed gray values and the actual temperature of the imaged object is assumed known. The new approach allows the use of uncalibrated imagery and does not require any knowledge of the ambient conditions of the scene. The authors use the new approach for hypothesis verification in a hypothesize-test method of model-based object recognition and for automatic change detection for site analysis using infrared images. The results are presented using long-wave infrared imagery.

In the next paper, Potter and Moses present physics-based parametric scattering models derived using the geometrical theory of diffraction. The attributes of a scattering center are estimated as parameters in a model of radar scattering. The attributes for each scattering center provided by these scattering models include location, amplitude, scattering geometry, and polarimetric properties. Further statistical analysis also provides the attribute uncertainty that may be used to characterize the performance of an ATR system. The results are demonstrated using SAR and ISAR images.

The next two papers use geometrical approaches to target detection and recognition.

The paper by Der and Chellappa presents a probe-based approach to target recognition in infrared images. A probe is based on the differences in gray levels along the silhouette of the hypothesized target. The target shape (silhouette) information is obtained from a 3-D computer-aided design (CAD) model of a target at a given range. The probability density function of the probe values (for target and background) is obtained from local regions of an image. The generalized likelihood ratio test between a target hypothesis and a background hypothesis is used to accept the input as one of the target poses or the background. Experimental results are presented using both synthetic and real images.

The next paper by Olson and Huttenlocher develops an approach for recognizing targets using both the location and direction of edges. Both the models and objects are represented in this manner. The 3-D target models are represented by their two-dimensional (2-D) viewer-centered

representations. Target location hypotheses are generated using a modified Hausdorff distance measure that accounts for both the position of edge pixels and their direction. To speed up the process, hierarchical cell decomposition of the transformation space is used. The authors discuss ways to make their approach more efficient and to estimate the probability of a false alarm at run-time. As expected, experiments confirm that the use of edge direction, in addition to edge location information, reduces the number of false alarms. Results are presented using visible and infrared imagery.

The next three papers use sensor fusion and multisensor and multispectral processing for target detection and recognition.

The paper by Casasent and Ye presents Gabor basis function (GBF) and morphological wavelet transform (MWT) based detection algorithms and their fusion for target detection in infrared images. The GBF algorithm locates objects by combining Gabor basis functions for different training set images. The MWT algorithm combines morphological and wavelet filter outputs to locate targets and to remove clutter. Since a single algorithm may not provide high detection probability with a low false alarm rate, the authors fuse the outputs of these algorithms by using various (“binary,” “analog,” and “hierarchical”) fusion algorithms to reduce false alarms while keeping the probability of detection high. The results are shown using terrain board imagery.

In the next paper, Stevens and Beveridge present a target recognition system that uses multisensor data for precise 3-D model matching. First, the targets are detected using color imagery. Next, the target type and pose hypothesis is generated using a boundary template matching algorithm that uses range images and the target CAD model. Finally, for each hypothesized target, multisensor (FLIR, color and range) matching uses an iterative optimization algorithm (a variant on Tabu search) to develop a best match between predicted target features computed on-line and the features extracted from multisensor imagery. The final step refines the model pose for each of the three sensors simultaneously. As the pose is being refined, image registration between sensors is also being corrected. The matching algorithm operates in a 3-D scene coordinate system within which it adjusts 3-D relationships between the sensors and the target.

The next paper by Yu *et al.* addresses the target detection and recognition problem using very-high-resolution spectral data in hyperspectral imagery. The authors use a two-stage processing scheme where the first stage is used to detect spectral anomalies caused by man-made objects and the second stage is used to recognize if the spectral anomaly is caused by known or partially known spectral features of a target or other man-made objects. The targets are detected using a generalized likelihood ratio test with unknown clutter spectral covariance and unknown image intensity in each band. The multiband data is partitioned into two groups, one corresponds to natural clutter from vegetation and the other to man-made objects. No known target spectral features are utilized in the detection phase, only known spectral features or *a priori* knowledge is required by the recognizer. The results are shown using images in the infrared spectrum and evaluated by finding the gain of the SNR needed for detection as well as the gain required for separability between the target classes to be recognized.

The next three papers use model-based processing of image sequences for target motion detection, recognition, tracking and change detection for wide area surveillance.

The paper by Miller *et al.* presents a method for fusion of multisensor data (low resolution radar, optical, FLIR and high-resolution radar) to achieve simultaneous target detection, recognition, and tracking. The problem is formulated using the Bayesian paradigm with aircraft dynamics and known target templates used as a priors. The true priors are estimated using the data by maximizing *a posteriori* probabilities. The search for the solution is organized via the jump-diffusion algorithm, a Monte Carlo method related to simulated annealing. Results using simulated data are presented.

The paper by Serra and Berthod describes a system to solve the problem of 3-D model localization in a 3-D scene using a sequence of monocular images with known motion parameters of the sensor. The 3-D reconstruction of a scene is obtained by using a subpixel accurate contour matching algorithm to achieve complete 3-D contours. To achieve higher localization precision and robust 3-D scene reconstruction, 3-D contours obtained from a pair of images are fused in a Kalman filter. Once the 3-D contours are obtained, corners are detected in these contours for model matching in a hypothesize-test paradigm with suitable techniques for selecting the best hypothesis. Results are presented using infrared images obtained at different resolutions.

The next paper by Carlotto addresses the change detection and wide-area surveillance problem using multiband Landsat Thematic Mapper (TM) imagery, especially for situations when site model may not yet exist. The paper presents linear and nonlinear filtering techniques for modeling and detecting general patterns of change observed over multiple images associated with construction or similar activities. Patterns of change are expressed in terms of relative values of image properties over time, which are further used to describe changes in terms of general trends by performing temporal segmentation and filtering operations. The linear filtering technique provides information relating to the kind of changes that have occurred. Its performance depends critically on the thresholds that are used. The nonlinear technique may use the input from an image analyst or the input from the linear filtering step to emphasize a specific pattern of change. As expected, there is a reduction in false alarms as the number of images used for processing increases.

The last paper by Perlovsky *et al.* describes a model-based neural network approach and applies it to several target detection/segmentation problems. The basic neural network architecture consists of an association subsystem that computes weights that associate data with models. The modeling subsystem estimates parameters of the models. The general idea is to estimate the clutter model parameters for each image independently, and to classify those pixels with smallest likelihood of belonging to the clutter model (i.e., the outliers) as targets. The approach combines *a priori* knowledge (physical laws of electromagnetic scattering) with adaptations to the actual environment. The results are presented using SAR images.

#### THE FUTURE

The future of ATR is exciting and challenging. It is exciting since it involves so many technical disciplines and has so

many practical (defense and nondefense) applications. It is challenging since we need to develop reliable and robust algorithms that can effectively work in the varying multisensor scenarios involved in practical missions.

It is generally agreed that significant progress has been made in the development of sensors and processing hardware systems. Great progress is being made in the development of algorithms using a variety of techniques, some of which are represented in this special issue. We expect to see useful ATR systems for practical applications while advanced research is attempting to solve difficult problems.

The challenging frontier for research is to develop sound theory for clutter and target characterization from physical principles, effective use of context and multisensor/multisource information that will result in reliable systems and, ultimately, transform the ATR field from an art to a science. This will allow a given set of ATR algorithms to predict performance, an essential element of a scientific field. In the future, we hope to have adaptive and learning-based ATR systems that will detect and recognize targets under varying environmental conditions and which will adapt to varying sensors, processing, and deployment conditions.

#### ACKNOWLEDGMENT

We thank the authors who submitted papers to this Special Issue. We are grateful to the referees who spent their valuable time in reviewing the manuscripts and worked under a tight schedule. We would like to thank the past and present Editors-in-Chief of this Transactions, D. Munson and A. Bovik, for providing useful suggestions in the development of this special issue. We also extend our thanks to P. Wheeler and J. Handler for their support.

BIR BHANU, *Guest Editor*  
University of California  
Riverside, CA 92521

DAN E. DUDGEON, *Guest Editor*  
Massachusetts Institute of Technology  
Cambridge, MA 02173

ED G. ZELNIO, *Guest Editor*  
Air Force Wright Laboratories  
Wright Patterson Air Force Base, OH 45433

AZRIEL ROSENFELD, *Guest Editor*  
University of Maryland  
College Park, MD 20742

DAVID CASASANT, *Guest Editor*  
Carnegie Mellon University  
Pittsburgh, PA 15213

IRVING S. REED, *Guest Editor*  
University of Southern California  
Los Angeles, CA 90089



**Bir Bhanu** (S'72–M'82–SM'87–F'96) received the S.M. and E.E. degrees in electrical engineering and computer science from the Massachusetts Institute of Technology, Cambridge, the Ph.D. degree in electrical engineering from the Image Processing Institute, University of Southern California, Los Angeles, and the M.B.A. degree from the University of California, Irvine. He also received the B.S. degree (with honors) in electronics engineering from the Institute of Technology, BHU, Varanasi, India, and the M.E. degree (with distinction) in electronics engineering from Birla Institute of Technology and Science, Pilani, India

Since 1991, he has been a Professor of electrical engineering and computer science and Director of the Visualization and Intelligent Systems Laboratory at the University of California, Riverside. Previously, he was a Senior Honeywell Fellow at Honeywell Systems and Research Center, Minneapolis, MN. He has been on the faculty of the Department of Computer Science at the University of Utah, Salt Lake City, and has also worked with Ford Aerospace and Communications Corporation, INRIA-France, and IBM San Jose Research Laboratory, CA.

He has been the principal investigator of various programs for DARPA, NASA, NSF, AFOSR, ARO, and other agencies and industries in the areas of target recognition, learning and vision, image understanding, and machine vision applications. He is coauthor of *Computational Learning for Adaptive Computer Vision* (New York Plenum, 1997), *Genetic Learning for Adaptive Image Segmentation* (Boston: Kluwer, 1994), and *Qualitative Motion Understanding* (Boston: Kluwer, 1992). He received an outstanding paper award from the Pattern Recognition Society. He has also received industrial awards for technical excellence and team efforts. He is on the editorial board of the *Journal of Mathematical Imaging and Vision*, *Journal of Pattern Recognition*, and *International Journal of Machine Vision and Applications*. He holds five U.S. and international patents, and has over 150 reviewed technical publications in the areas of his interest. He was General Chair for the first IEEE Workshop on Applications of Computer Vision, Chair for the DARPA Image Understanding Workshop, and General Chair for the IEEE Conference on Computer Vision and Pattern Recognition.

Dr. Bhanu is a member of ACM, AAAI, Sigma Xi, the Pattern Recognition Society, and SPIE. He became a Fellow of the IEEE for contributions to sensor-based navigation, automatic object recognition, and closed-loop adaptive techniques for developing robust algorithms.



**Dan E. Dudgeon** (S'68–M'74–SM'82–F'87) received the B.S. and M.S. degrees in electrical engineering from Massachusetts Institute of Technology (MIT), Cambridge, in 1970. He received the Ph.D. degree, specializing in signal processing, in 1974.

From 1974 through 1978, he worked at Bolt, Beranek, and Newman, Inc., Cambridge, MA, developing algorithms for processing underwater acoustic signals and tracking acoustic targets. He is currently a Senior Staff Member at Lincoln Laboratory, MIT, in the lab's Machine Intelligence Technology Group. He has been active in the field of image processing and multidimensional signal processing for 25 years. For the past 15 years, he has participated in and supervised various research programs in multidimensional signal processing and machine vision at Lincoln Laboratory, including work on the experimental target recognition system (XTRS). Recently, he has also become active in the development and assessment of signal processing algorithms for underwater acoustics. He coauthored "Two-Dimensional Digital Filtering" (PROCEEDINGS OF THE IEEE, April 1975), which was awarded the IEEE Browder

J. Thompson Memorial Prize. He also coauthored the texts *Multidimensional Digital Signal Processing* (Englewood Cliffs, NJ: Prentice-Hall, 1984), and *Array Signal Processing: Concepts and Methods* (Englewood Cliffs, NJ: Prentice-Hall, 1993).

Dr. Dudgeon was named a Fellow of the IEEE in 1987 due to his contributions to the field of multidimensional signal processing. In 1988, he was named a Distinguished Lecturer of the IEEE Acoustics, Speech, and Signal Processing Society. He was a charter member of the IEEE Signal Processing Society's Technical Committee on Multidimensional Signal Processing, and during 1986–1987, he served as its Chairman. He also served as Secretary of the IEEE Signal Processing Society from 1988 to 1991, and on its Board of Governors from 1995 to 1996.



**Ed. G. Zelnio** graduated from Bradley University, Peoria, IL, in 1975, and has pursued doctoral studies in electrical engineering at The Ohio State University, Columbus.

He has had a 21-year career with the Air Force Wright Laboratory, Wright-Patterson AFB, OH, where he has spent 19 years working on automatic target recognition development. He has served as the Branch Chief of the Automatic Target Recognition Technology Branch of Wright Laboratory, and is currently the Technical Director of the Combat Information Division at the Laboratory. He also serves in an advisory capacity to the Department of Defense and the intelligence community in the areas of ATR and information technology. He is the current Chair of the ATR subarea for the Defense Technical Area Plan, which outlines the Department of Defense plan in ATR for the Air Force, Army, Navy, DARPA, and BMDO. He has guided the development of prototype automatic target recognition systems for both air-to-air and air-to-ground applications using both electrooptical and radar sensors. His primary focus has been the development of model-based ATR technology for synthetic aperture radar systems.

Mr. Zelnio is a member of the Automatic Target Recognition Working Group, a joint industry–university–DOD group that serves the collective interests of the ATR community.



**Azriel Rosenfeld** (M'60–F'72–LF'96) received the Ph.D. in mathematics from Columbia University, New York, NY, in 1957, rabbinic ordination (1952), and the Doctor of Hebrew Literature degree in 1955 from Yeshiva University. He also received honorary Doctor of Technology degrees from Linköping University, Sweden, in 1980, and Oulu University, Finland, in 1994.

He is a Research Professor, a Distinguished University Professor (since 1955), and Director of the Center for Automation Research at the University of Maryland, College Park. He also holds affiliate professorships in the Departments of Computer Science and Psychology and in the College of Engineering. He is widely regarded as the leading researcher in the world in the field of computer image analysis. Over a period of 35 years, he has made many fundamental and pioneering contributions to nearly every area of that field. He wrote the first textbook in the field (1969); was founding editor of its first journal (1972); and was cochairman of its first international conference (1987). He has published over 25 books and over 500 book chapters

and journal articles, and has directed about 50 Ph.D. dissertations.

Dr. Rosenfeld is a founding Fellow of the American Association for Artificial Intelligence (1990) and of the Association for Computing Machinery (1993). He is a Fellow of the Washington Academy of Sciences (1988), and won its Mathematics and Computer Science Award in 1988. He was a founding Director of the Machine Vision Association of the Society of Manufacturing Engineers (1985–1988), won its President's Award in 1987, and is a certified Manufacturing Engineer (1988). He was a founding member of the IEEE Computer Society's Technical Committee on Pattern Analysis and Machine Intelligence (1965), served as its Chairman (1985–1987), and received the Society's Meritorious Service Award in 1986 and its Harry Goode Memorial Award in 1995. He became a Golden Core member of the Society in 1996. He received the IEEE Emanuel Piore Award in 1985, the IEEE Systems, Man, and Cybernetics Society's Norbert Wiener Award in 1995, the IEEE Standards Medallion in 1990, and the Electronic Imaging International Imager of the Year Award in 1991. He was a founding member of the Governing Board of the International Association for Pattern Recognition (1978–1985), served as its President (1980–1982), won its first K. S. Fu Award in 1988, and became one of its founding Fellows in 1994. He was a Foreign Member of the Academy of Science of the German Democratic Republic (1988–1992), and is a Corresponding Member of the National Academy of Engineering of Mexico (1982).



**David Casasent** (S'68–M'69–SM'74–F'79) is a Full Professor at Carnegie Mellon University, Pittsburgh, PA, in the Department of Electrical and Computer Engineering, where he is the George Westinghouse Professor and Director of the Laboratory for Excellence in Optical Data Processing. He is presently Faculty Advisor to Eta Kappa Nu, among other activities. His research interests include distortion-invariant pattern recognition, neural networks, Gabor and wavelet transforms, and morphological image processing. He is the author of two books, editor of one text, editor of 50 journal and conference volumes, contributor to chapters in 20 books and over 600 technical publications on various aspects of optical data processing, image pattern recognition, and real-time signal processing.

Dr. Casasent is active in conference organizations and is a consultant to companies and government agencies. He originated and has organized the set of 6 to 11 annual SPIE conferences on Intelligent Robots and Computer Vision. He is past President of SPIE and was on the Board of Directors of SPIE for six years. He is a past member of two Defense Science Board Task Forces (on Image Recognition and on Automatic Target Recognition). He is past President of the Pittsburgh chapters of the IEEE-ED and the Optical Society of America. He is presently on the Board of Directors of INNS (the International Neural Network Society) and is an Editorial Board member of the IEEE TRANSACTIONS ON NEURAL NETWORKS plus six other journals. He has received various best paper awards and other honors, and is a Fellow of the OSA and the SPIE.



**Irving S. Reed** (SM'69–F'73) was born on November 12, 1923, in Seattle, WA. He received the B.S. and Ph.D. degrees in mathematics from the California Institute of Technology, Pasadena, CA, in 1944 and 1949, respectively.

From 1951 to 1960, he was associated with Lincoln Laboratory, Massachusetts Institute of Technology, Lexington. From 1960 to 1968, he was a Senior Staff Member with the Rand Corporation, Santa Monica, CA. Since 1963, he has been a Professor of Electrical Engineering and Computer Science at the University of Southern California (USC), Los Angeles. He holds the Charles Lee Power Professorship in Computer Engineering at USC. He is also a consultant to the Rand Corporation and is a Director of Adaptive Sensors, Inc. His interests include mathematics, VLSI computer design, coding theory, stochastic processes, and information theory.

Dr. Reed is a member of the National Academy of Engineering and received the 1989 IEEE Richard W. Hamming Medal.